

Import Documentary Collection Booking User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Import Documentary Collection Booking User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

## Overview

OBTFPM is a Trade Finance Middle Office platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

# Import Documentary Collection Booking

This process handles the import document under collection. The Documents under Collection are submitted by the Exporter( also referred to as Drawer) directly to the Collecting Bank (Bank of the Importer or Drawee) or through the Exporter's Bank, the Remitting Bank. The collecting bank in turn will handle the documents under collection as instructed by the Drawer/Remitting bank.

This section contains the following topics:

<a href="#">Common Initiation Stage</a>	<a href="#">Data Enrichment</a>
<a href="#">Registration</a>	<a href="#">Reject Approval</a>
<a href="#">Multi Level Approval</a>	

## Common Initiation Stage

The user can initiate the new import documentary collection booking request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot displays the Oracle OBTFPM application interface. On the left, a vertical sidebar menu contains various navigation options, with 'Initiate Task' highlighted by a red rectangular box. The main content area is titled 'Initiate Task' and features a 'Registration' section. This section includes three input fields: 'Process Name' (set to 'Import Documentary Collections'), 'Customer Id' (set to '000863'), and 'Branch' (set to '000-FLEXCUBE UNIVERSAL BANK'). At the bottom right of the form, there are two buttons: 'Proceed' and 'Clear'. The top right corner of the application shows the user's name 'JEEVAO2' and email 'subham@gmail.com'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Customer ID	Select the customer id of the applicant or applicant's bank.
Branch	Select the branch.

## Action Buttons

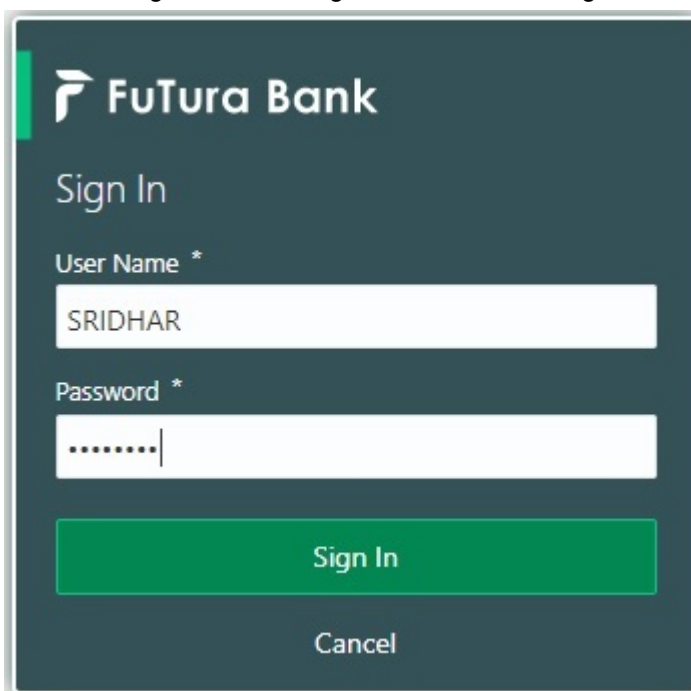
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

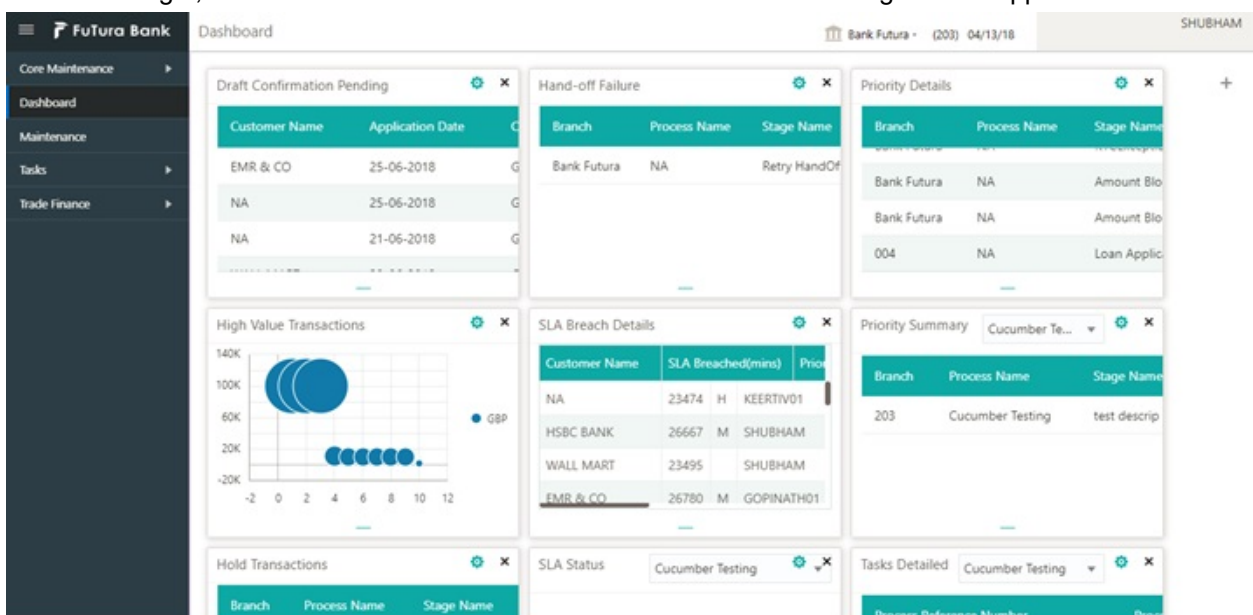
If the request the request will be available for an Bill expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

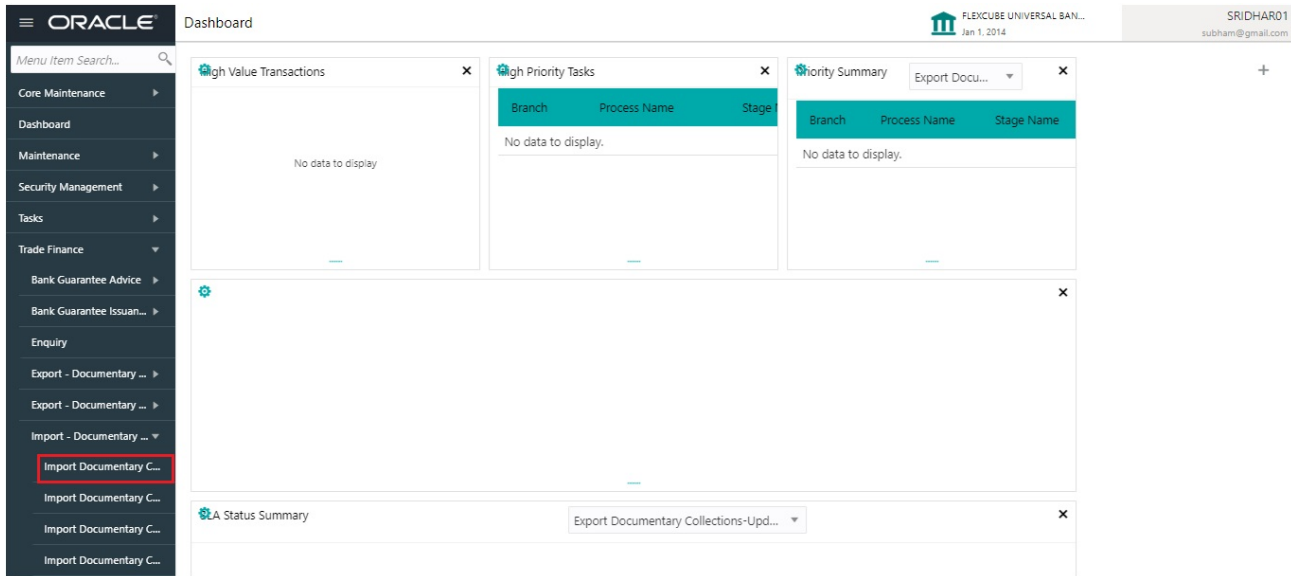


The image shows the 'Sign In' screen of the FuTura Bank application. It features a dark blue header with the 'FuTura Bank' logo. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click Trade Finance> Import Documentary Collection> Import Documentary Collection Booking.



The registration stage has two sections Application Details and Collection Details. Let's look at the details of Registration screens below:

## Application Details

Import Documentary Collection Booking

DocumentsRemarks

Application Details

Received From Remitting Bank

☐

Submission Mode

Desk

Process Reference Number

000IDCB000003421

Drawee

000335 SH TEST CORP

Booking Date

05-May-2021

Remitting Bank Date/Remitting Date

05-May-2021

Branch

000-FLEXCUBE UNIVERSAL BANK

Remitting Bank/Remitter

001184 UNICREDIT B

Priority

Medium

Remitting Bank/Remitter Reference

66565

Collection Details

Documents Received

Operation Type

ACC

Amount In Local Currency

GBP £769.23

Tenor Type

Multi Tenor

Stage

FINAL

Finance Amount

USD

Product Code

IUNM

Contract Reference Number

PK2IUNM211250501

Drawer

000332 TBE BANK

Product Description

INCOMING CLEAN MULTI TENOR BILLS

Bill Amount

USD US\$1,000.00

Limit verification required

☒

Hold




Cancel

Save & Close

Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Received from Remitting Bank/Drawer	<p><b>Toggle on:</b> Set the toggle on, if documents are received from Remitting Bank. Applicable fields will be displayed to capture the Remitting Bank details.</p> <p><b>Toggle off:</b> Set the toggle off, if the documents are received from drawer. Applicable fields will be displayed to capture the Drawee information.</p>	

Field	Description	Sample Values
Drawee	<p>Provide the Drawee ID and Drawee Name. Alternatively, user can search the Drawee ID using LOV.</p>  <p><b>Note</b> If the selected drawee/ party is blacklisted the system displays a warning message.</p>	EMR & CO
Branch	<p>Read only field.</p> <p>Branch details will be defaulted based on the selected Drawee from the LOV.</p>	203-Bank Futura -Branch FZ1
Priority	<p>System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit of Registration stage.</p>	High
Submission Mode	<p>Select the submission mode of Import Collection - Booking request. By default the submission mode will have the value as 'Desk'.</p> <p><b>Desk-</b> Request at the Desk</p> <p><b>Courier-</b> Request received through Courier</p>	Desk
Booking Date	<p>By default, the application will display branch's current date.</p>	04/13/2018
Remitting Bank/ Remitter	<p>Select the Remitting Bank.</p> <p>Click the look up icon to search the Remitting bank based on Party ID/Party Name. You can also input the party ID and on tab out system will validate and populate the 'Remitting Bank' name.</p>  <p><b>Note</b> In case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".</p>  <p><b>Note</b> If the selected remitting bank is blacklisted the system displays a warning message.</p>	
Remitting Bank/ Remitter Reference	<p>User can update the Remitting Bank reference number, if available.</p>	







Field	Description	Sample Values
Process Reference Number	Unique OBTFPM task reference number for the transaction.  This is auto generated by the system based on process name and branch code.	
Remitting Bank Date/ Remitting Date	Provide the Remitting Bank message date.	

## Collection Details

Registration user can provide collection details in this section. Alternately, collection details can be provided by Scrutiny user.

Provide the Collection Details based on the description in the following table:

Field	Description	Sample Values
Document Received	Enables to user to select the number of sets of documents received from the LOV: <ul style="list-style-type: none"> <li>• First</li> <li>• Second</li> <li>• Both</li> </ul>	
Tenor Type	Select the Tenor Type from the LOV: <ul style="list-style-type: none"> <li>• Sight</li> <li>• Usance</li> <li>• Mixed</li> </ul>	
Product Code	Select the product code for the collection.	
Product Description	Read only field.  This field displays the description of the product as per the product code.	
Operation Type	<p>Operation type will be auto-populated from the collection booking. User can update the operation code, if required.</p> <div>  <p><b>Note</b> User cannot change the operation type, If the operation code is set as ADV or COL or DIS in collection Booking.</p> </div> <div>  <p><b>Note</b> User can change the operation type to ADV or DIS, if the operation code is set as ACC in collection booking.</p> </div>	

Field	Description	Sample Values
Stage	<p>System displays the stage of the transaction. User can change the value from initial to final.</p>  <p><b>Note</b></p> <p>User cannot change the stage value from Final to initial.</p>	
Contract Reference Number	On selection of the Product code, the contract reference number gets simulated from the back office and is displayed.	
Bill Currency	Select the currency.	
Bill Amount	Provide the bill amount.	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Finance Amount	<p>Read only field.</p> <p>Finance Amount will be auto-populated based on the selected Documentary Collection Number.</p>	
Drawer	<p>Select the drawer ID and Drawer Name from the LOV.</p>  <p><b>Note</b></p> <p>If the selected drawee/ party is blacklisted the system displays a warning message.</p>	
Limit verification required	<p>Toggle On- Enables for limit verification.</p> <p>If the toggle is disabled and <b>Finance amount</b> has been provided, the system prompts an override message on Submit.</p>	

## Miscellaneous

Import Documentary Collection Booking

Documents

Remarks

Application Details

Received From Remitting Bank

Drawee \*

000335 SH TEST CORP

Branch

000-FLEXCUBE UNIVERSAL BANK

Priority

Medium

Submission Mode

Desk

Booking Date \*

05-May-2021

Remitting Bank/Remitter \*

001184 UNICREDIT B

Remitting Bank/Remitter Reference \*

66565

Process Reference Number

000IDCB000003421

Remitting Bank Date/Remitting Date \*

05-May-2021

Collection Details

Documents Received

Tenor Type

Multi Tenor

Product Code \*

IUNM

Product Description

INCOMING CLEAN MULTI TENOR BILLS

Operation Type \*

ACC

Stage \*

FINAL

Contract Reference Number

PK2IUNM211250501

Bill Amount \*

USD US\$1,000.00

Amount In Local Currency

GBP £769.23

Finance Amount

USD

Drawer \*

000332 TBE BANK

Limit verification required

Hold

Cancel

Save & Close

Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the Documentary Collection.	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	

### Action Buttons

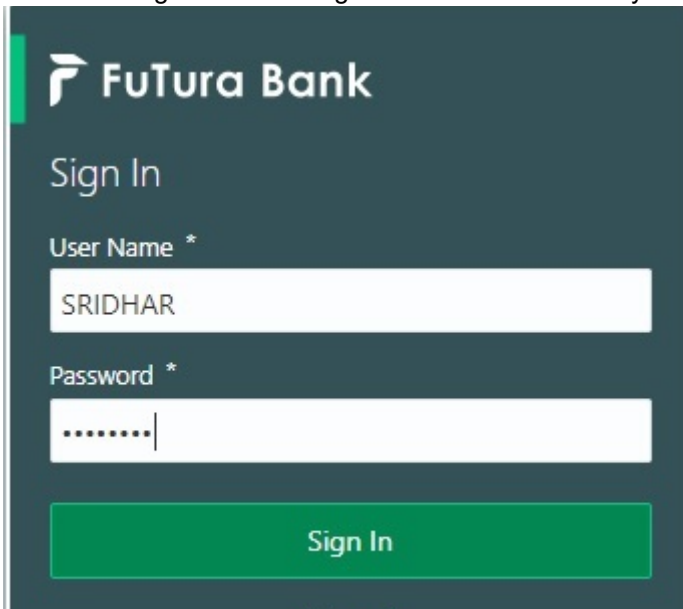
Submit	On submit, task will move to next logical stage of Import Documentary Collection Booking. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import Documentary Collection Booking Task. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

## Data Enrichment

As part of Data Enrichment, user can enter/update details of the Import Documentary Collection Booking.

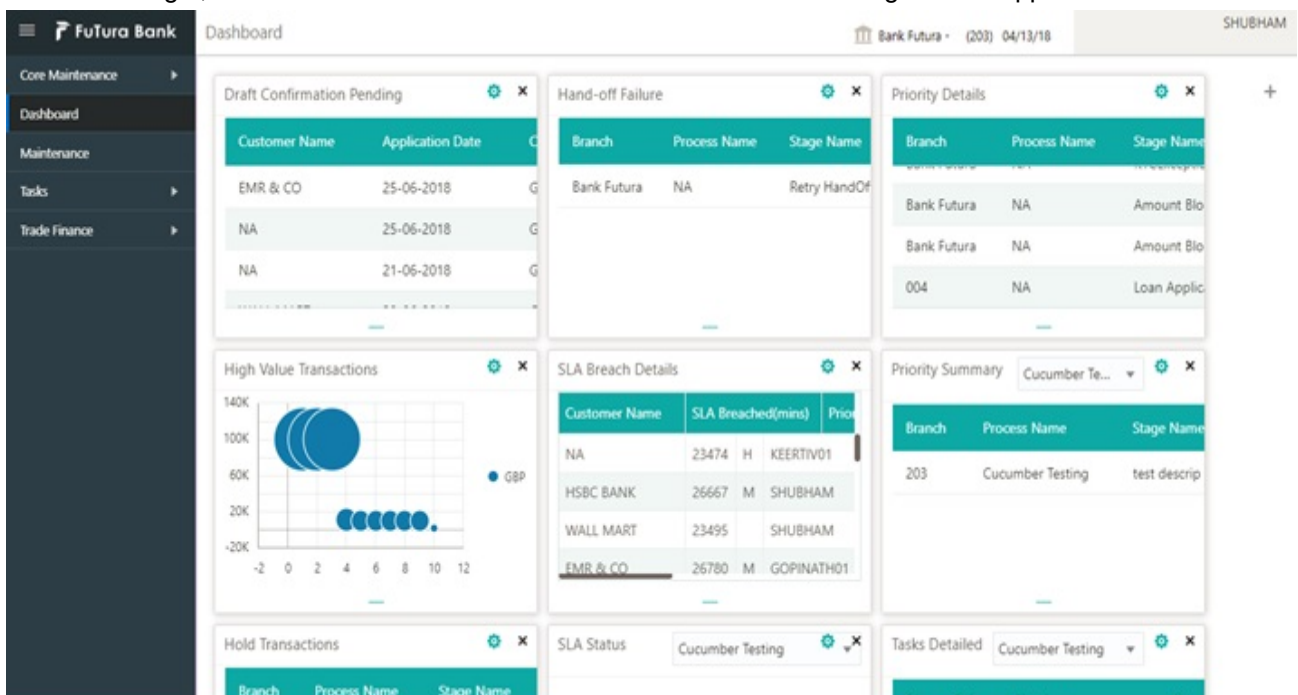
Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters. A green 'Sign In' button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Action	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Cust
Acquire & Edit	M	Import Documentary- B...	000IDCB000030087	000IDCB000030087	DataEnrichment	1587020236841	000	000947
Acquire & Edit	M	Export LC Update Drawi...	300ELCU000030043	300ELCU000030043	HandoffRetryTask	70-01-01	300	0019
Acquire & Edit		Export LC Cancellation	300ELCC000030083	300ELCC000030083	DataEnrichment	1587019969620	300	0019
Acquire & Edit	H	Export LC Advising	300ELCA000029957	300ELCA000029957	DataEnrichment	1586952466787	300	0019
Acquire & Edit		Export LC Amendment	300ELCA000030067	300ELCA000030067	Scrutiny	1587018202990	300	0019
Acquire & Edit	M	Export LC Liquidation	300ELCL000030053	300ELCL000030053	HandoffRetryTask	70-01-01	300	0019
Acquire & Edit		Import LC Drawing	300ILCD000030054	300ILCD000030054	Scrutiny	1587014251663	300	0019
Acquire & Edit	M	Import LC Issuance	GS1ILCI000028828	GS1ILCI000028828	Scrutiny		GS1	0009
Acquire & Edit	M	Import LC Issuance	GS1ILCI000028828	GS1ILCI000028828	Scrutiny		GS1	0009

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks

Action	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Cust
Acquire & Edit	M	Import Documentary- B...	000IDCB000030087	000IDCB000030087	DataEnrichment	1587020236841	000	000947
Acquire & Edit	M	Export LC Update Drawi...	300ELCU000030043	300ELCU000030043	HandoffRetryTask	70-01-01	300	0019
Acquire & Edit		Export LC Cancellation	300ELCC000030083	300ELCC000030083	DataEnrichment	1587019969620	300	0019
Acquire & Edit	H	Export LC Advising	300ELCA000029957	300ELCA000029957	DataEnrichment	1586952466787	300	0019
Acquire & Edit		Export LC Amendment	300ELCA000030067	300ELCA000030067	Scrutiny	1587018202990	300	0019
Acquire & Edit	M	Export LC Liquidation	300ELCL000030053	300ELCL000030053	HandoffRetryTask	70-01-01	300	0019
Acquire & Edit		Import LC Drawing	300ILCD000030054	300ILCD000030054	Scrutiny	1587014251663	300	0019
Acquire & Edit	M	Import LC Issuance	GS1ILCI000028828	GS1ILCI000028828	Scrutiny		GS1	0009
Acquire & Edit	M	Import LC Issuance	GS1ILCI000028828	GS1ILCI000028828	Scrutiny		GS1	0009

Page 1 of 37 (1 - 20 of 727 items) | K < 1 2 3 4 5 ... 37 > X

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference	Application Number	Stage	Application Date	Branch	Customer Nu
Edit	M	Import Documentary- B...	000IDCB000030087	000IDCB000030087	DataEnrichment	1587020236841	000	000947
Edit	M	Import Documentary- B...	000IDCB000030049	000IDCB000030049	Registration	1587013588867	000	000947
Edit	M	Export Documentary Re...	000EDCR000029935	000EDCR000029935	Approval Task Level 1	70-01-01	000	000009

The Data Enrichment stage has five sections as follows:

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details

- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Registration may not be editable.

## Main Details

Main details section has two sub section as follows:

- Application Details
- Collection Details

## Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Import Documentary Collection Booking - DataEnrichment :: Application No: 000IDCB000003421

Screen ( 1 / 10)

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

### Main Details

#### Application Details

Received From Remitting Bank

Drawee \*

Branch

Priority

000335 SH TEST CORP

000-FLEXCUBE UNIVERSAL BANK

Medium

Submission Mode

Booking Date \*

Remitting Bank/Remitter \*

Remitting Bank/Remitter Reference \*

Desk

05-May-2021

001184 UNICREDIT BAN

66565

Process Reference Number

Remitting Bank Date/Remitting Date \*

000IDCB000003421

05-May-2021

#### Collection Details

Documents Received

Tenor Type \*

Product Code \*

Product Description

ACC

Multi Tenor

IUNM

INCOMING CLEAN MULTI TENOR BILLS

Operation Type \*

Stage \*

Contract Reference Number

Bill Amount \*

USD

FINAL

PK2IUNM211250501

USD US\$1,000.00

Amount In Local Currency

Finance Amount

Drawer \*

Limit verification required

GBP £769.23

USD

000332 TBE BANK

Limit verification required

Following field can be amended based on the description provided in the following table:

Field	Description	Sample Values
Priority	User can change the priority defaulted' Values are High, Medium and Low.	High

## Collection Details

The fields listed under this section are same as the fields listed under the [Collection Details](#) section in [Registration](#). Refer to [Collection Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Document Details

This section enables the user to capture the details of the documents received.

As part of document details screen in DE stage the user can capture the Transport Document reference and document date. On click of 'Next' system should validate the value in Document Reference field against the transport document/Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.

System to display an override if the Bill Of Lading reference mentioned in the document details screen matches with that of the Bill of Lading reference in any of the unlinked Shipping Guarantees issued for the customer.

Oracle Financials Cloud - Free Tasks

Import Documentary Collection Booking - DataEnrichment :: Application No: PK2IDCB000056957

Document Details

# Documents Details

Document Code	Document Type	Documents Description	Document Reference	Copies Received	Originals Received	Document Date	Action
AIRDOC	T	Air Way					
INSDOC	I	Insurance					
INVDOC	V	Invoice					
MARDOC	T	Sea Way					

Screen ( 2 / 10)

Buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, Next



Capture the information based on the description in the following table:

Field	Description	Sample Values
Document Code	System will default the document codes based on the product selected. User can add or delete the code by selecting the line on the grid.	
Document Type	System will default the document type based on the product selected.	
Document Description	System will populate the document description based on the document code. User can edit the description.	
Document Reference	User can capture the document reference, if available.  On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.	
Copies Received	User can edit the actual copies received.	
Originals Received	User can edit the actual originals received.	
Document Date	User can edit the date on which the document is received.  On click of 'Next' system should validate the value in this field against the transport document/ Bill of Lading (MARDOC) against any Outstanding Shipping Guarantees issued for the drawee and is not linked to any Documentary Collection or Import Letter of Credit. System should default the Shipping Guarantee Reference in the next screen.	
Action	Click Edit icon to edit the document code/ document date.  Click Delete icon to delete the document code.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Other Details

Other Details enables the user to capture details like Shipping Guarantee reference, Credit Value Date, Debit Value Date, Other Bank charges etc..

Import Documentary Collection Booking - DataEnrichment - Application No: PK1IDCB000002371

Main Details

Document Details

Other Details

Shipment Details

Maturity Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Other Details

Shipping Guarantee Reference

Shipping Guarantee Reference

Transport Document Reference

Transport Document Date

Other Details

Debit Value Date

Credit Value Date

Value Date

Other Bank Charges

Other Bank Charges-1

Other Bank Charges-2

Other Bank Charges-3

Other Bank Interest

Other Bank Interest-1

Other Bank Interest-2

Other Bank Interest-3

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Screen (3 / 10)

## Shipping Guarantee Reference

Field	Description	Sample Values
Shipping Guarantee Reference	<p>Shipping Guarantee Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee.</p> <p>User can select the Shipping Guarantee from the LOV and the Shipping Guarantee Reference Number gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference. System to populate an override &lt; Transport Document Reference different from the Documentary Collection and Shipping Guarantee&gt;</p> <p>System provides an override &lt; Mismatch is Transport Document date. Accept to Proceed.&gt;, if the Transport Document Date as per the Collection Document is different from the Transport Document Date as per the Shipping Guarantee.</p>	
Transport Document Reference	<p>Transport Document Reference is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee.</p> <p>User can select the Shipping Guarantee from the LOV and the Shipping Guarantee Reference Number, Transport Document Reference gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference.</p>	
Transport Document Date	<p>Transport Document Date is auto populated from the underlying Shipping Guarantee details, if the Transport Reference Number in the Collection document is same as the Transport Document reference in the Shipping Guarantee.</p> <p>User can select the Shipping Guarantee from the LOV and the Transport Document Date gets populated, if the Transport Document Reference in Documentary Collection is different from the Shipping Guarantee Transport Document Reference.</p> <p>System provides an override &lt; Mismatch is Transport Document date. Accept to Proceed.&gt;, if the Transport Document Date as per the Collection Document is different from the Transport Document Date as per the Shipping Guarantee.</p>	

## Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	

## Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the collection transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the collection transaction.	

## Other Bank Interest

The user can enter the Interest details to be captured as a part of “Other Bank Interest” details section.

Provide the other bank interest based on the description in the following table:

Field	Description	Sample Values
Start Date	Provide the date from which the system starts calculating the Interest.	
Other Bank Interest-1, 2 and 3		
Component	This field displays the name of the interest Component.	
Component Description	Provide the description of the interest component.	
Interest Rate	Provide the rate to be applied for the interest component.	
Interest Basis	Select the calculation basis on which the Interest to be computed.	
Waive	Select whether the interest to be waived off. The options are: <ul style="list-style-type: none"><li>• Yes</li><li>• No</li></ul>	

## Shipment Details

The shipment and goods details as per the documents are captured in the respective fields.

The screenshot shows the Oracle Import Documentary Collection Booking - DataEnrichment application. The left sidebar contains a navigation menu with options: Main Details, Document Details, Other Details, Shipment Details (highlighted), Maturity Details, Additional Fields, Advances, Additional Details, Settlement Details, and Summary. The main content area is titled 'Shipment Details' and contains a form with the following fields:





- Transshipment:** A dropdown menu with 'ALLOWED' selected.
- Partial Shipments:** A dropdown menu with 'ALLOWED' selected.
- Date of Shipment:** A date field with 'Jun 10, 2021' and a calendar icon.
- Place of Taking in Charge:** A text field.
- Port of Loading:** A text field.
- Port of Discharge:** A text field.
- Place of Final Delivery:** A text field.
- Carrier Name:** A text field.
- Shipping Agent Name:** A text field.
- Shipping Agent Address:** A text field.
- INCO Terms:** A text field with a search icon.
- INCO Terms Description:** A text field.
- 45A Description of Goods and/or Services:** A text field.
- Goods Table:** A table with columns: Goods Code, Goods Type, Goods Description, No of Units, Price per Unit, Total Amount, and Action. The first row contains: SHPGOOD1, L, SHPGOOD12, and empty cells for the remaining columns.
- Country of Origin:** A text field.
- Insurance Company Code:** A text field with a search icon.
- Insurance Company:** A text field.
- Policy Number:** A text field.

At the bottom of the form, there are buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

## Shipment Details

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
Transshipment	Provide the transshipment details of goods (if any) from the document received. The values are: <ul style="list-style-type: none"> <li>Allowed</li> <li>Not Allowed</li> </ul>	
Partial Shipments	Provide the partial shipment details of goods (if any) from the document received. The values are: <ul style="list-style-type: none"> <li>Allowed</li> <li>Not Allowed</li> </ul>	
Date Of Shipment	Provide the date of shipment.	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment as indicated on the transport document.</p> <p>Provide the details of place of taking in charge.</p> <p> <b>Note</b> This field is alternate to <b>Port Of Loading</b>.</p>	
Port Of Loading	<p>This field specifies the port of discharge or airport of destination as indicated on the transport document.</p> <p>Provide the details of Port/Airport of Loading.</p> <p> <b>Note</b> This field is alternate to <b>Place Of Taking In Charge</b>.</p>	
Port Of Discharge	<p>This field specifies the port of discharge or airport of destination as indicated on the transport document.</p> <p>Provide the details of Port/Airport of Discharge.</p> <p> <b>Note</b> This field is alternate to <b>Place Of Final Destination</b>.</p>	
Place Of Final Delivery	<p>This field specifies the final destination or place of delivery as indicated on the transport document.</p> <p>Provide the details of Place Of Final Destination.</p> <p> <b>Note</b> This field is alternate to <b>Port Of Discharge</b>.</p>	
Carrier Name	Provide the name of the carrier through which the goods were shipped.	
Shipping Agent	Provide the shipping agent name as per the document received.	
Shipping Agent Address	Provide the shipping agent details, if more than one carrier is used for the shipment.	
INCO Terms	Select the appropriate INCO terms.	
INCO Terms Description	The description of the INCO Term.	

## Description of Goods and/or Services

ORACLE Free Tasks (DEFAULTENTITY) (PK2) May 8, 2019 SRIDHAR02 subham@gmail.com

Import Documentary Collection Booking - DataEnrichment - Application No: 190IDCB000057482

Screen ( 4 / 10)

**Shipment Details**

Transshipment: ALLOWED Partial Shipments: ALLOWED Date of Shipment: Jun 10, 2021 Place of Taking in Charge:

Port of Loading:  Port of Discharge:  Place of Final Delivery:  Carrier Name:

Shipping Agent Name:  Shipping Agent Address:

INCO Terms:  INCO Terms Description:

**45A Description of Goods and/or Services**

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
SHPGOOD1	L	SHPGOOD12				

Country of Origin:  Insurance Company Code:  Insurance Company:  Policy Number:

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
+ Icon	Click + icon to add goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price. In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Edit icon to edit the goods code. Click Delete icon to delete the goods code.	
Country of Origin	Provide the country of origin details as per the documents received.	
Insurance Company Code	Select the Insurance Company Code from the LOV.	



Field	Description	Sample Values
Insurance Company	Read only field. Insurance company details will be displayed as per the selected Insurance Company Code from the LOV.	
Policy Number	Provide the policy number.	
Insurance Company Address	Read only field. Insurance company address will be displayed as per the selected Insurance Company Code from the LOV.	

## Multimodal/Transshipment Details

During shipment, if goods are shipped using multiple transports on its way or any transit ports, the details must be captured in this section.

The screenshot shows the Oracle application interface for 'Import Documentary Collection Booking - DataEnrichment'. The 'Shipment Details' section is active, and the 'Multi-model/ Transshipment details' section is highlighted with a red box. This section contains a table with the following data:

Carrier Name	Port	Action
SAS Carrier	London	<a href="#">Edit</a> <a href="#">Delete</a>

Field	Description	Sample Values
Carrier Name	Provide the details of the carrier as per the documents received, if the goods were shipped via multiple carriers.	
Port	Provide the port details as per the document received, if the ship has transit on its way to the destination.	
Action	Click Edit icon to edit the Carrier name and port. Click Delete icon to delete the record.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Maturity Details

This field displays the maturity details and this tile will be disabled, if the tenor for the drawing is 'Sight'.

Import Documentary Collection Booking - DataEnrichment :: Application No: 000IDCB000003421 Overrides Screen ( 5 / 10)

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details**
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

### Maturity Details

**Draft Details**

Draft Code	Draft Amount	Action
Cost of the shipment	\$1,200.00	

**Maturity and Multi Tenor Liquidation Details**

S.No	Tenor Basis	Tenor Description	Start Date	Tenor Days	Transit Days	Maturity Date	Bill Amount	Liquidated Amount	Exchange Rate	Liquidation Date	Liquidation Amount	Action
2	BE		May 5	12	10	May 27, 202	\$1,000.00					
1	CC		May 5	10	12	May 27, 202	\$1,200.00					

Interest From Date: May 5, 2021 Interest To Date: Sep 4, 2021 Acceptance Commission From Date: May 5, 2021 Acceptance Commission To Date: Sep 4, 2021

Audit Reject Refer Hold Cancel Save & Close Back Next

## Draft Details

Update the maturity details based on the description in the following table:

Field	Description	Sample Values
Draft Code	Update the draft code. Alternatively, user can search the draft details using LOV.	
Draft Amount	Update the draft amount.	
Action	Click Edit icon to edit the draft code. Click Delete icon to delete the draft code.	

## Maturity and Multi Tenor Liquidation Details

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
SNo.	Serial number of the tenor record.	

Field	Description	Sample Values
Tenor Basis	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Tenor Description	The tenor base code description is displayed based on the selected tenor basis. The user to can edit the tenor description.	
Start Date	Select the start date.	
Tenor Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Transit Days	Provide the tenor basis. This field is disabled if the Tenor Type is 'Mixed'.	
Maturity Date	Maturity date as Start date + Tenor Days or Transit Days. This field is disabled if the Tenor Type is 'Mixed'	
Bill Amount	Provide the bill amount.	
Liquidated Amount	Provide the liquidation amount.	
Exchange Rate	Provide the Exchange Rate.	
Liquidation Date	Provide the liquidation date.	
Liquidation Amount	Provide the bill amount.	
Action	Click Edit icon to edit the tenor record. Click Delete icon to delete the tenor record.	

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest to Date	Maturity date is auto-populated in this filed.	
Accept Commission From Date	Provide the accept commission from date.	
Accept Commission To Date	Provide the accept commission to date.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.  Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields Screen.

Import Documentary Collection Booking - DataEnrichment :: Application No: 190IDCB000057482

Additional Fields

Additional Fields

No Additional fields configured!

Audit Reject Refer Hold Cancel Save & Close Back Next

## Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required.

Import Documentary- Booking - DataEnrichment :: Application No: 000IDCB000030087

Advices

Advice : PAYMENT\_MESSAGE

Advice Name : PAYMENT\_MESSAGE

Advice Party :

Party Name :

Suppress : N

Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

User can suppress the advice if required.

## Additional Details

Import Documentary Collection Booking - DataEnrichment - Application No: PK2IDCB000058749

Overrides

Screen ( 8 / 10)

- Main Details
- Document Details
- Other Details
- Shipment Details
- Maturity Details
- Additional Fields
- Advices
- Additional Details**
- Settlement Details
- Summary

### Additional Details

<b>Charge Details</b> <ul style="list-style-type: none"> <li>Charge :</li> <li>Commission :</li> <li>Tax :</li> <li>Block Status :</li> </ul>	<b>Preview Message</b> <ul style="list-style-type: none"> <li>Language :</li> <li>Preview Message :-</li> </ul>	<b>Payment Details</b> <ul style="list-style-type: none"> <li>Allow Rollover : <b>No</b></li> <li>Advance by Loan : <b>No</b></li> <li>Liquidate using Collateral : <b>No</b></li> </ul>	<b>FX Linkage</b> <ul style="list-style-type: none"> <li>Reference Number :</li> <li>Currency :</li> <li>Contract Amount :</li> </ul>
<b>Interest Details</b> <ul style="list-style-type: none"> <li>Component :</li> <li>Amount :</li> <li>Event :</li> </ul>	<b>Loan Preferences</b> <ul style="list-style-type: none"> <li>Loan Product :</li> <li>LinkageRefNo :</li> <li>Loan Tenor Loan :</li> <li>Currency :</li> <li>Loan Amount :</li> <li>Loan Maturity Date :</li> </ul>	<b>Tracer Details</b> <ul style="list-style-type: none"> <li>Payment Tracer : <b>No</b></li> <li>Acceptance Tracer : <b>No</b></li> <li>Charges Details : <b>No</b></li> </ul>	

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Charge Details

This section displays charge details:

Charge Details

Recalculate Redefault

**Charge Details**

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

**Commission Details**

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	



This section displays the commission details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close

Cancel

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	<p>Defaults from product.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	

Field	Description	Sample Values
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.  If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close
Cancel

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

## Payment Details

Payment Details

Payment Details

Auto Liquidate

Advance by Loan

Allow Rollover

Auto Change from Acceptance to Advance

Liquitate using Collateral

Outstanding Collateral Amount

Split Settlement

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
No data to display.						

Page 1 (0 of 0 items)

Split Settlement

Component	Contract Currency	Amount
No data to display.		

Page 1 (0 of 0 items)

Split Settlement Details


Fetch Exchange Rate

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Exchange Rate	Action
1		PK20064460016	006446	USD	PK2		

Page 1 (1 of 1 items)

Save & Close
Close

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	<p><b>Toggle On:</b> Set the toggle 'On' if the auto Liquidation is required.</p> <p><b>Toggle Off:</b> Set the toggle 'Off' if the auto Liquidation is not required.</p>	
Advance by Loan	<p>Read only field.</p> <p>Advance by Loan enables creation of loan at the time of Final liquidation.</p>	
Allow Roll over	Read only field.	
Auto Change from Acceptance to Advance	<p>Read only field.</p> <p>This flag indicates whether an Acceptance type of bill should be automatically converted into an Advance type of bill on its liquidation date.</p> <div>  <p><b>Note</b></p> <p>This option is applicable only for the bills that are co-accepted by the bank.</p> </div>	
Liquitate using Collateral	Read only field.	
Outstanding Collateral Amount	<p>Read only field.</p> <p>Auto Liquidation enables liquidation of the bill on the due date automatically from the back office system.</p>	

Field	Description	Sample Values
Split Settlement	<p><b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p> <p><b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill</p>	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays debit/ credit for the component.	
Account	Customer account.	
Account Description	Description of the account.	
Branch	Branch of the customer's account.	
Account Currency	Currency of the account.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Contract Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Account	Details of the settlement account.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	
Action	<p>Click Edit icon to edit the split settlement details record.</p> <p>Click Delete icon to delete the split settlement details record.</p>	

## FX Linkage

This section enables the user to link the existing FX contract(s) to the Liquidation. User can link one or more FX deals to a Bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

FX Linkage ×

FX Linkage +

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200762005	GBP	USD	\$1,000.00	1.33	\$1,000.00			Jun 28, 2020	

Page 1 of 1 (1 of 1 items) 1

Average FX Rate  
0

Save & Close

Close

FX Linkage

FX Reference Number \*

PK2FXF1200762005

Contract Amount

GBP

£1,000.00

Linkage Amount \*

GBP

£1,000.00

Amount in Contract Currency

1000

FX Delivery Period From

Currency

GBP

Available Contract Amount

GBP

£1,000.00

Rate

1.33

FX Expiry Date

Jun 28, 2020

FX Delivery Period To

Save & Close

Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	

Field	Description	Sample Values
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details.  Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Tracers

This section enables the user to view the tracer maintained at Back Office. It also allows the user to add new tracer details. Add new tracer details based on the description in the following table:

Acknowledgement Tracer, Acceptance Tracer and Payment Tracer are applicable for this process.

Tracer Details

Payment Tracer

Tracer Required  
☐

Number of Tracers

Tracer Frequency  
Weekly

Tracer Medium  
EMAIL

Tracer Receiver Party  
PREV DRAWEE

Tracer Start Date  
May 4, 2019

Template ID  
ACK\_TRACER

Acceptance Tracer

Tracer Required  
☐

Number of Tracers

Tracer Frequency




Tracer Medium  
SWIFT

Tracer Receiver Party  
NEGOTIATING BANK


Tracer Start Date  
Jul 5, 2019

Template ID  
NTF\_FOR\_NEXN

Save &amp; Close
Close

Field	Description	Sample Values
Tracer Required	Select if tracer is required or not from the available drop list: <ul style="list-style-type: none"> <li>Required</li> <li>Not required</li> <li>Till resolved</li> </ul>	
Number of Tracers	Provide the number tracers required.	
Tracer Frequency	Provide the frequency for generation of the tracer. <div>  <p><b>Note</b> This field is applicable only if <b>Tracer Required</b> has value as <b>Till Resolved</b>.</p> </div>	
Tracer Medium	Select the tracer medium from the LOV. <div>  <p><b>Note</b> This field is applicable only if <b>Tracer Required</b> has value as <b>Required</b> or <b>Till Resolved</b>.</p> </div>	
Tracer Receiver Party	Select the tracer receiver party from the LOV. <div>  <p><b>Note</b> This field is applicable only if <b>Tracer Required</b> has value as <b>Required</b> or <b>Till Resolved</b>.</p> </div>	



Field	Description	Sample Values
Tracer Start Date	Select the tracer start date. Start date cannot be earlier than the branch date.   <b>Note</b> This field is applicable only if <b>Tracer Required</b> has value as <b>Required</b> or <b>Till Resolved</b> .	
Template ID	Select the template ID from LOV.	

## Loan Preference

This section enables the user to link a loan to liquidate the document under collection. This section will be enabled based on the product selected for documents under collection.

Loan Preferences screen is enabled if the underlying Import Drawing/Collection product allows Advance by Loan Option. The details can be captured in Import Collection/ Drawing Booking or Update or Liquidation processes and the loan gets created during Liquidation process.

Loan Preferences

Loan Preferences

Drawing/Collection Ref

Product Code

Tenor Type

Bill Currency-Amount

Loan Currency-Amount

Loan/Finance Value Date

Customer Id

Exchange Rate

Loan Maturity Date

Customer Name

Loan Tenor Units

Loan Interest/UDE Details

User Defined Element ID	UDE Description	Rate Code	Usage	UDE Value
No data to display.				

Limits

Linkage Type	Linkage Reference Number	Linked Percentage	Limit Amount
No data to display.			

Save & Close

Close

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Drawing/Collection Ref	Defaults from the underlying task. User cannot change the value.	
Bill Currency-Amount	Outstanding Drawing/ Collection Currency and Amount. Defaults from the underlying task. User cannot change the value.	
Customer Id	Defaults from the underlying task. User cannot change the value.	

Field	Description	Sample Values
Customer Name	Applicant/ Drawee Name. Defaults from the underlying task. User cannot change the value.	
Product Code	Defaults from the underlying Collection/ Drawing Product maintenance. User cannot change the value.	
Loan Currency-Amount	Loan currency is defaulted from the bill currency. User can change this to Local Currency. System should display an error message on tab out if the currency selected is not a Bill currency or Local Currency.  System populates the loan account based on the outstanding drawing/ Collection amount less the collateral amount. User cannot change the Loan amount.	
Exchange Rate	Exchange rate applicable for Local Currency.  System displays the Exchange rate from maintenance. User cannot change the value.  System validates the exchange rate is within the allowed range.	
Loan Tenor Units	Period of loan.  System defaults the value. User can change the value. The numerical value for Days or months or Years is applicable.	
Tenor Type	System defaults the value. User can change the value. Values are Days, Months and Years. The numerical value for Days or months or Years is applicable.	
Loan/Finance Value Date	System defaults the branch date as Value date. User cannot change the value.	
Loan Maturity Date	Loan maturity date as default based on Tenor type and Tenor units. User cannot change the value.	
Liquidate Charge/Comm On LC	Toggle On: Turn the toggle on to include the outstanding Commission/charge to be included as part of Liquidation.	
Loan Interest/UDE Details		
User Defined Element ID	System populates the UDE Element ID as part of simulation. User are allowed to change the selection through LOV.	
UDE Description	System populates the UDE description as part of simulation. If a user changes the UDE ID, system should populate the description.	

Field	Description	Sample Values
Rate Code	System populates the Rate code as part of simulation.	
Usage	System populates the details as part of simulation.	
UDE Value	System populates the value as part of simulation.	
Limits		
Serial Number	System defaults the value.	
Linkage Type	System defaults the linkage type as "Facility" from back office.	
Linkage Reference Number	System defaults the Linkage reference as part of simulation. User can change the value. Linkages available for the customer should be displayed for selection.	
Linked Percentage	User can enter the value. Maximum is 100. User cannot enter negative values.	
Limit Amount	System defaults the value. System should populate the value based on the contribution percentage.	

## Interest Details

The user can view and modify the Interest Details Simulated from Back Office system.

Interest Details ×

Recalculate
Redefault

4 Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spre...	Max Spread	Spread	Min Rate	Max Ra...	Rate	Modified Rate	Currency	Amou...	Waive	Charge Par...	Settlement Account
IBC_ACP_IN	BC IMP ACCEPTANCE COMMISSION	Fixed					2	16	6.2		EUR	€0.85	<input type="radio"/>	Applicant	PK1000325025 <span>Q</span>

Save & Close
Close

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office.  Value can be: <ul style="list-style-type: none"> <li>• Fixed Floating</li> <li>• Special</li> </ul>	
Rate Code	This field displays the rate code applicable for the Componen.	
Min Spread	This field displays the minimum spread applicable for the Rate Code.  This field have value only if the <b>Rate Type</b> is <b>Floating</b> .	
Max Spread	This field displays the maximum spread applicable for the Rate Code.  This field have value only if the <b>Rate Type</b> is <b>Floating</b> .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value.  System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code.  User can change the value if the <b>Rate Type</b> is <b>Fixed</b> . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	
Currency	System defaults the Interest currency in this field.	

Field	Description	Sample Values
Amount	User can input the interest amount, in case the <b>Rate Type</b> is <b>Special</b> , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	System displays the Charge Party based on the type of transaction.  In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..	
Settlement Account	System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.  In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).	

### Preview Message

User can preview the message simulated for correspondence with Remitting Bank.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will move to next logical stage of Import Documentary Collection Booking.  If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

## Settlement Details

Export Documentary Collection Return/Close - DataEnrichment :: Application No: PK2EDCR000057928 Screen ( 10 / 11)

- Main Details
- Return Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

**Settlement Details**
☐ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AMT_PURCHASEDEQ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BCCOUR_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BCSWIFT_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BILL_AMND_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
CHG1_LIQD	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	N
COLL_LIQD_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	N
EBC_PUR_IN_ADJ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
EBC_PUR_IN_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	Y
EBC_PUR_IN_N_ADJ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N

**BILL\_AMND\_AMT - Party Details**

Transfer Type  
None

Ordering Institution  
Name/Account

Account With Institution  
Name/Account

Charge Details

Senders Correspondent  
Name/Account

Beneficiary Institution  
Name/Account

Netting Indicator  
No

Receivers Correspondent  
Name/Account

Ultimate Beneficiary  
Name/Account

Ordering Customer  
AAEMNL21 Name/Account

Intermediary Institution  
Name/Account

Intermediary Reimbursement Institution  
Name/Account

**Payment Details**

Sender To Receiver 1      Sender To Receiver 2      Sender To Receiver 3      Sender To Receiver 4








Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

## Summary

User can review the summary of details updated in Data Enrichment stage Import Collection - Booking request.

ORACLE My Tasks (DEFAULTTENTY) (PK2 May 8, 2019) SRIDHAR02 risham@gmail.com

Import Documentary Collection Booking - DataEnrichment :: Application No: PK2IDCB000058749

Screen ( 10 / 10 )

**Summary**

Main Details	Document Details	Other Details	Shipment Details	Maturity Details
Booking Date : <b>2019-05-06</b> Submission Mode : <b>Desk</b> Remitter Reference : <b>45466</b> Bill Amount : <b>USD 1200</b>	Document 1 : Document 2 :	Value Date : <b>2019-05-06</b> Debit Value Date : <b>2019-05-06</b> Credit Value Date : <b>2019-05-06</b>	Port of Loading : Port of Discharge : Shipment Date : Carrier Name :	Tenor Type : <b>Usance</b>
Additional Fields	Advices	Limits and Collaterals	Commission, Charges and Taxes	Preview Messages
Click here to view Additional fields :	Advice 1 : Advice 2 :	Limit Currency : Limit Contribution : Limit Status : <b>Not Verified</b> Collateral Currency : Collateral Contr. : Collateral Status : <b>Not Verified</b>	Charge : Commission : Tax : Block Status : <b>Not Initia</b>	Language : <b>ENG</b> Preview Message : -
FX Linkage	Loan Preference	Tracer Details	Payment Details	Settlement Details
Reference Number : Contract Amount : Contract Currency :	Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	Payment Tracer : <b>No</b> Acceptance Tracer : <b>No</b> Charge Tracer : <b>No</b>	Advance by Loan : <b>No</b> Allow Rollover : Liquidate using : Collateral :	Component : Account Number : Currency :
Parties Details	Compliance details	Accounting Details		
Drawee : <b>WIPRO TECHNO</b> RemittingBank : <b>014892</b> Drawer : <b>DEEPAI C</b>	KYC : <b>Not Initia</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>	Event : Account Number : Branch :		

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view details about application details and Bill details.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view additional fields.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Loan Preferences - User can view the Loan Preference details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated by back office system.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	



Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view the overrides accepted by the user.	
Submit	<p>Task will move to next logical stage of Import Documentary Collection Booking.</p> <p>If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.</p>	
Save & Close	<p>Save the details provided and holds the task in 'My Task' queue for further update.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	<p>The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

## Exceptions

The Import Collection Booking request, before the task moves to the approval stage, the application will validate the Amount Block, KYC and AML. A failure in validation of any of them, the task will reach exception stage for further approval for the exceptions.

### Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create an amount block. On hand-off, system will debit the blocked account to the extent earmark and credit charges/ commission account in case of charges block or credit the amount in suspense account for earmarks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of updated available fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### Amount Bock Exception

This section will display the amount block exception details.

### Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import Collection Booking Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions will be listed in your queue. Open the task, to see summary tiles that display a summary of available updated fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

### Summary

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import Collection Booking KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

### Reject

The transaction due to non-availability of limits capturing reject reason.

## Limit/Credit Check

This section will display the amount block exception details.

## Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view and modify revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	

Field	Description	Sample Values
Cancel	Cancel the Import Collection Booking Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Multi Level Approval

Log in into OBTFPM application and acquire the task available in the approval stage in free task queue. Authorization User can acquire the task for approving.

### Authorization Re-Key

Application will request approver for few field values to validate authorization. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Drawee Name
- Drawer Name
- Currency
- Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'My Tasks' interface in the Futura Bank application. A modal titled 'Approval Rekey' is open, showing fields for Drawee Name, Drawer Name, Currency, and Amount, each with a green checkmark indicating successful validation. The background shows a table of tasks and a list of process names.

Action	Priority	Application Number	Process Name	Stage	Back Office Ref No.
Edit	M	GS11DCB000006264	Import Documentary- Booking	Approval	NA
Edit	M	GS11LCU000006184	Import LC Update Drawings	Approval	NA
Edit	M	GS11LCL000006228	Import LC Liquidation	Approval	NA
Edit	M	GS11LCD000006139	Import LC Drawing	Approval	NA
Edit	M	GS11ELCD000006049	Import LC Drawing	Data Enrichment	GS1DSP5190323001
Edit	M	GS11LCL000005955	Import LC Liquidation	Liquidation	NA

## Summary

Free Tasks

FLEXCUBE UNIVERSAL BAN...  
Jan 1, 2014

SRIDHAR02  
subham@gmail.com

Summary :: Application Number : 000IDCB000030087

Documents

Remarks

<b>Main Details</b> bookingDate : 2014-01-01 Submission Mode : Desk remittingBankReference:25255	<b>Shipment Details</b> Port of Loading : NY Port of Discharge : CHN shipmentDate : 2013-12-11 carrierName :	<b>Maturity Details</b> Tenor Type : Sight Tenor Basis : Maturity Date : 2014-01-01	<b>Document Details</b> Document 1 : BLD Document 2 : INSDOC Document 3 : INVOICE	<b>Draft Details</b> draft1 : Freight ch draft2 : Insurance
<b>Party Details</b> Drawer : CUST12 Drawee : NESTLE RemittingBank : CITI BANK	<b>Additional Fields</b> Click here to view : Additional fields :	<b>Advice Details</b> advice1 : PAYMENT_ME	<b>Payment Details</b> LiquidateusingCollateral : AdvanceByLoan : AllowRollover :	<b>Charge</b> Charge : Commission : Tax : Block Status : Not Initia
<b>Limits Details</b> Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : Collateral Contr. :	<b>FX Linkage Details</b> Reference No. : Contract Amount : Linkage Amount :	<b>Settlement Details</b> Component : LQTAX_AMT Account Number : 000356780180 Currency : GBP	<b>Preview Message</b> Language : ENG Preview Message : -	<b>Compliance</b> KYC : Not Verified Sanctions : Verified AML : Verified

Audit

Status : Not Verified

Reject

Hold

Refer

Cancel

Approve

### Tiles Displayed in Summary:

- Main Details - User can view details about application details and documents under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Draft Details - User can view the draft details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Advices - User can view the advices.
- Payment Details - User can view the payment details.
- FX Linkage - User can view the FX Linkage details.
- Settlement Details - User can view the settlement details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.



## Action Buttons

Use action buttons based on the description in the following table:

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Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import Documentary Collection Booking available in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The screen from which the reject was initiated can be seen highlighted in the tile view.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

## Summary

The screen up to which data was captured before reject will be available for the user to view in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and document under collection.
- Party Details - User can view party details like applicant, Remitting Bank etc.
- Document Details - User can view document details.
- Shipment Details - User can view shipment details.
- Charges - User can view charge details.
- Maturity Details - User can view the maturity details.
- Message Preview - User can view the preview of the simulating message to the remitting bank.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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